Russian Nuclear Strategy: Background, Current Status, Future

Dr. Nikolai Sokov

Senior Fellow

James Martin Center for Nonproliferation Studies
Middlebury Institute of International Studies at Monterey



Transition from Soviet to Russian Nuclear Strategy

1999-2003: new strategy emerges:

- Security Council meeting (March 1999),
 West-99 maneuvers
- National Security Concept (2000)
- Military Doctrine (2000)
- "Immediate Tasks for Development of the Armed Forces" (2003)



2010 – new edition of Military Doctrine; marginal change in nuclear policy

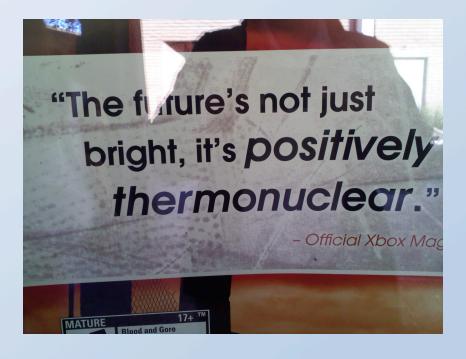
2014 – latest edition of Military Doctrine, no change in nuclear policy



Nuclear missions in 2000 Military Doctrine

Types of wars:

- -- armed conflict
- -- local conflict
- -- regional conflict
- -- global conflict



The likely escalation path is from the first level directly to the third

V. Prozorov, Nuclear Deterrence

V. Prozorov, Nuclear Deterrence in the Theory of SRF Use (Moscow: Academy of Pyotr Veliki, 1999)

Began as deterrence of outside interference in Chechnya.



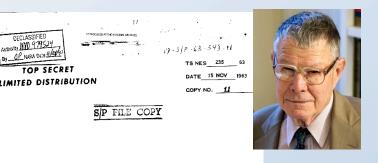
Transition from Soviet to Russian Nuclear Strategy

	Soviet Union/Russian 1993 Military Doctrine	Russian 2000 and later Military Doctrines
Nature of conflict	Global war	Regional warGlobal war
Nuclear missions	Deterrence of global war	 De-escalation of regional war Deterrence of global war
Employment	 No first use Massive strike on warning, transition to second strike 	 Regional conflict: limited use, military targets First use Massive strike on warning/second strike
Scale of use	Unacceptable damage	 Tailored damage for limited use Unacceptable damage for global war



Assumptions behind de-escalation:

- Large-scale (global) conflict with the US improbable
- Conventional force could be used against Russia on a limited scale by US and NATO (along the lines of Kosovo war)



TOP SECRET

THE MANAGEMENT AND TERMINATION OF WAR WITH THE SOVIET UNION (IS)

EXCLUDED FROM AUTOMATIC



- 3. Asymmetry of stakes: U.S. stakes will likely be low, prospect of even very limited nuclear use will deter U.S. from using force against Russia.
- 4. Credible strategic deterrence serves as stabilizing foundation.

Logic follows old US/NATO concepts – ladder of escalation, flexible deterrence, NSC-68



"Tailored damage" replaces traditional "unacceptable damage"

("Zadannyi Ushcherb" – predetermined, tailored, adequate to the purpose, calibrated)

Defined in 2003 as "damage subjectively perceived [by the opponent] as unacceptable and exceeding the benefits the opponent expects to yield":







2010 Military Doctrine:

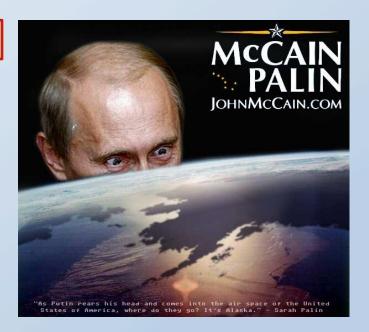
In 2009, further mission expansion debated, but rejected.

Types of wars:

-- armed conflict

- -- local conflict
- -- regional conflict
- -- global conflict

Controversy in 2009



Higher threshold for employment of nuclear weapons:

2000: "in situations critical for national security"

2010: when "the very existence of [Russia] is under threat."



2014 Military Doctrine

- NATO openly classified as a threat (instead of a challenge).
- Role of nuclear weapons, missions, circumstances of use remained the same as in 2010.
- Doctrine introduced a new notion non-nuclear (conventional) deterrence.





Targets for limited nuclear use in large-scale exercises since 1999 (employing heavy and medium bombers):

- (1) Airbases, command, communications and support centers in European NATO countries and, in at least one case, in Japan. Particularly high attention to Eastern Europe and Baltic states.
- (2) Undisclosed targets in the continental United States, probably airbases of B-2s, as well as command and control centers.
- (3) Naval targets aircraft carrier groups in the Pacific Ocean and the Baltic Sea; once in the Indian Ocean, once in the Black Sea-Mediterranean.

(4) In 2003 heavy bombers simulated strikes against Diego Garcia and in 2007 against Guam.

2013 "West-2013" exercises – first large-scale exercises since 1999 that did not feature simulated limited nuclear use. 2014 – return to greater role of nuclear weapons.



Limitations of nuclear deescalation:

- (1) Self deterrence: norm against nuclear use, "overkill" for low-intensity scenarios.
- (2) Threat of nuclear use cannot be employed against non-nuclear states-not allies of nuclear states
- (3) Cannot be used for majority of politically tangible missions: need conventional capability.



Can deterrence provide "cover" for low-intensity offensive action? Theoretically, yes, but case of Ukraine inconclusive, at best:

- Any nuclear weapons can have same effect (not just limited use options), US enjoys same benefit.
- Doubtful US/NATO would have used force anyway
- No change in posture, strategy, or R&D; only rhetoric, which was probably intended for domestic audience.



New variable: conventional long-range strike weapons



In de-escalation context, conventional weapons *complement*, instead of *replace*, nuclear capability:

- Extra step on the ladder;
- More importantly, can de-escalate conflict without crossing nuclear threshold.

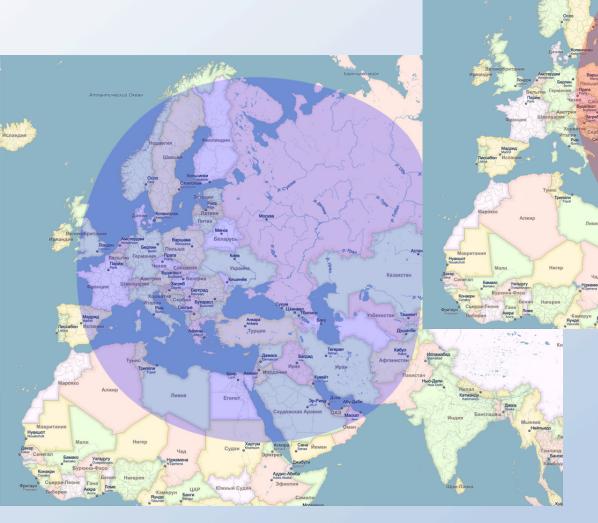
All long-range conventional assets are dual capable.







SLCM ranges from Black and Caspian Seas





Prospects for progress on nuclear disarmament remain dim

Main reason – differences in framework:

- US insists on addressing primarily nuclear weapons
- Russia insists on "integrative" approach, which includes conventional, missile defense, space(?).

Issues to resolve for progress:

- Missile defense: deadlock
- Tactical nuclear weapons in Europe: Russia does not want to talk unless US TNW are withdrawn; NATO will not agree to total withdrawal and wants asymmetric reductions instead. NATO reliance on TNW might further increase.
- Conventional high-precision long-range strike assets: US/NATO will not sacrifice existing advantage; if/when Russia completes acquisition of similar capability, it will not yield either.
- Deadlock existed early 2000s; little has changed as a result of Ukraine crisis except now no one will even pretend to try.
- US no longer has monopoly on long-range conventional, Russia catching up on missile defense: unrestricted arms race is likely.